

IAP9 Rec'd PCT/PTO 26 MAY 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                               |   |                              |
|-------------------------------|---|------------------------------|
| In re Patent Application of   | ) |                              |
|                               | ) |                              |
| Hyun-Jin PARK et al.          | ) | Group Art Unit: Unassigned   |
|                               | ) |                              |
| Application No.: Unassigned   | ) | Examiner: Unassigned         |
|                               | ) |                              |
| Filed: May 26, 2006           | ) | Confirmation No.: Unassigned |
|                               | ) |                              |
| For: FILM-FORMING COMPOSITION | ) |                              |
| SUITABLE FOR HARD CAPSULES    | ) |                              |
| AND METHOD FOR PREPARING THE  | ) |                              |
| SAME                          | ) |                              |

**FIRST INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98. Also enclosed are copies of Form PCT/ISA/210 (International Search Report), and Form PCT/IPEA/409 (International Preliminary Report on Patentability) in connection with the related International Application.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

**U.S. Patent Documents**

- 1) PARIS et al., U.S. Patent No. 6,331,205 B1, issued on December 18, 2001.
- 2) WITTEWER et al., U.S. Patent No. 4,738,724, issued on April 19, 1988.
- 3) TANNER et al., U.S. Patent No. 6,340,473 B1, issued on January 22, 2002.
- 4) MICHAUD et al., U.S. Patent No. 6,143,324, issued on November 7, 2000.

**Foreign Patent Documents**

- 1) SCOTT et al., International Publication No. WO 00/18835, published on April 6, 2000.

**FIRST** Information Disclosure StatementApplication No. UnassignedAttorney's Docket No. 1012679-000117

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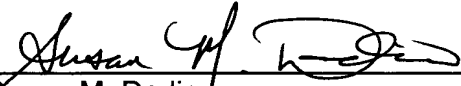
The documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since these documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BUCHANAN INGERSOLL PC

Date May 26, 2006

By:   
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Substitute for form 1449A/PTO &amp; 1449B/PTO

# **FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

|                        |                      |
|------------------------|----------------------|
| Application Number     | Unassigned           |
| Filing Date            | May 26, 2006         |
| First Named Inventor   | Hyun-Jin PARK et al. |
| Examiner Name          | Unassigned           |
| Attorney Docket Number | 1012679-000117       |

## **U.S. PATENT DOCUMENTS**

| Examiner Initials | Document Number | Kind Code (if known) | Name of Patentee or Applicant of Cited Document | Issue/Publication Date (MM-DD-YYYY) |
|-------------------|-----------------|----------------------|---|-------------------------------------|
|                   | 6,331,205       | B1                   | PARIS et al.                                    | 12-18-2001                          |
|                   | 4,738,724       |                      | WITTWER et al.                                  | 04-19-1988                          |
|                   | 6,340,473       | B1                   | TANNER et al.                                   | 01-22-2002                          |
|                   | 6,143,324       |                      | MICHAUD et al.                                  | 11-07-2000                          |
|                   |                 |                      |   |                                     |
|                   |                 |                      |   |                                     |

## **FOREIGN PATENT DOCUMENTS**

| Examiner Initials | Document Number | Kind Code (if known) | Country       | Date of Publication (MM-DD-YYYY) | STATUS      |                     |                    |               |      |          |               |
|-------------------|-----------------|----------------------|---------------|----------------------------------|-------------|---------------------|--------------------|---------------|------|----------|---------------|
|                   |                 |                      |               |                                  | Translation | Partial Translation | Eng. Lang. Summary | Search Report | IPER | Abstract | Cited in Spec |
|                   | WO 00/18835     |                      | International | 04-06-2000                       |             |                     |                    | X             |      |          |               |

## **NON-PATENT LITERATURE DOCUMENTS**

| Examiner Initials | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
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|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.